

11/13/01  
JC682 U.S. PTO

Please type a plus sign (+) inside this box

→ +

PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 353.015

First Named Inventor STEVEN M. LEHMANN

Title TORQUE LIMITING MECHANISM FOR A MECHANICAL ASSIST MOBILE STORAGE SYSTEM

Express Mail Label No. EL922961061US

11/13/01  
JC682 U.S. PTO  
10/008557**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. (X) Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ( ) Applicant claims small entity status  
See 37 CFR 1.27
3. (X) Specification [Total Pages 16]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. (x) Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 1]
  - a. (X) Newly executed (original or copy)
  - b. ( ) Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 16 completed)
    - i. ( ) DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).
6. ( ) Application Data Sheet. See 37 CFR 1.76
7. ( ) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202318. ( ) Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ( ) Computer Readable Form (CRF)
- b. ( ) Specification Sequence Listing on:
  - i. ( ) CD-ROM or CD-R (2 copies); or
  - ii. ( ) paper
- c. ( ) Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ( ) Assignment Papers (cover sheet & document(s))
10. ( ) 37 CFR 3.73(b) Statement ( ) Power of (when there is an assignee) Attorney
11. ( ) English Translation Document (if applicable)
12. ( ) Information Disclosure Statement (IDS)/PTO-1449 ( ) Copies of IDS Citations
13. ( ) Preliminary Amendment
14. (X) Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ( ) Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. (X) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) – Applicant must attach form PTO/SB/35 or its equivalent
17. ( ) Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

( ) Continuation ( ) Divisional (X) Continuation-in-part (CIP) of prior application No: 09/514,490

Prior application information: Examiner V. Luong Group / Art Unit: 3682

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)		
Name	Andrew S. McConnell			
Address	Boyle, Fredrickson, Newholm, Stein & Gratz, S.C. 250 E. Wisconsin Avenue, Suite 1030			
City	Milwaukee	State	WI	Zip Code
Country		Telephone	(414) 225-9755	Fax (414) 225-9753

Name (Print/Type) Andrew S. McConnell

Registration No. (Attorney/Agent) 32,272

Signature

Andrew S. McConnell

Date 11/13/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**812.00***Complete if Known*

Application Number	
Filing Date	
First Named Inventor	Steven M. Lehmann
Examiner Name	
Group Art Unit	
Attorney Docket No.	353.015

**METHOD OF PAYMENT**1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1170
Deposit Account Name	Boyle Fredrickson Newholm Stein & Gratz S.C.

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
Applicant claims small entity status.  
See 37 CJR 1.27

2.  Payment Enclosed:  
 Check  Credit Card  Money Order  Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

**SUBTOTAL (1) (\$)**740.00******2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
24	-20**= 4	X 18	= 72.00
3	-3**= 0	X 84	= 0

Multiple Dependent

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 **Reissue independent claims over original patent
110	18	210	9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**72.00****

\*\* or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge – late filing fee or oath	
127	50	227	25 Surcharge – late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive – unavoidable	
141	1,240	241	620 Petition to revive – unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**0****

Complete (if applicable)

Name (Print/Type)	Andrew S. McConnell	Registration No. (Attorney/Agent)	32,272	Telephone	414-225-9755
Signature	<i>Andrew S. McConnell</i>			Date	10/13/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant: STEVEN M. LEHMANN

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, Washington, DC 20231 on November 13, 2001. The Express Mail Label No. is EL922961061US.

  
Dawn M. Oleszak

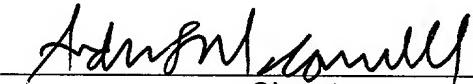
11-13-01  
Date

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	STEVEN M. LEHMANN
	Title	TORQUE LIMITING MECHANISM FOR A MECHANICAL ASSISST MOBILE STORAGE SYSTEM
	Atty. Docket Number	353.015

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 13, 2001

Date

  
Signature

Andrew S. McConnell

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**